Recognition of Guests by Water-Stabilized Cavitand Hosts

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ABSTRAC1

Water stabilized, deep cavitands with three walls and an open side are shown to be receptors for amines and ammonium cations bearing bulky aliphatic groups. The missing wall allows the binding of guests not accommodated by the four-walled counterparts.

Resorcinarenes are versatile modules for modern studies of molecular recognition.¹ The monomeric resorcinarene (e.g., **1**, Figure 1) and its simple derivatives show recognition properties, but their shallow curvatures cannot provide sufficient surface contacts for selecting between targets. Nonetheless, they bind ammonium ions, choline, acetylcholine, and carnitine in protic solvents.² Ideally, a synthetic receptor should provide a congruent surface and chemical complementarity to the target molecule: a large fraction of the target should be surrounded, but how much? Deepened cavitands are prepared by fusing four aromatic "walls" to the resorcinarene.³ This increases the curvature of the cavitand's space. Cavitands with only three walls have been known since 1992,⁴ but their recognition properties were

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Figure 1. Synthesis of cavitands 3 and 4 and representation of 3 in the vase conformation.

discovered by Ballester only in 2006.⁵ We describe here additional resorcinarene-derived cavitands with three walls and characterize their behavior in aqueous and organic media.

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Deep cavitands with benzimidazoles on their upper rims allow the study of molecular recognition events in protic solvents.⁶ Their vase-like conformations are stabilized (rather than disrupted) by water or alcohols because hydroxyl functions complete the hydrogen bond seam that helps maintain the shape of the receptor. The synthesis of twoand three-walled cavitands is low-yielding but has led to a number of intriguing applications.⁷ We used the known hexanitroderivative⁸ **2** to prepare the cavitand **3**. Reduction with tin(II) chloride then condensation with **5** gave the cavitand with **3** benzimidazole walls, each bearing an ester function. In addition, synthesis of the ethyl-footed analog **3a** and subsequent saponification gave a water-soluble cavitand **4**.

Although **3a** dissolves well in $CDCl_3$, its ¹H NMR spectrum shows broad and unassignable signals that sharpen upon addition of MeOH- d_4 (Figure 2).⁹ The two methine



Figure 2. ¹H NMR spectra (600 MHz) of (a) 3a in CDCl₃/5% CD₃OD, (b) 3a in CDCl₃/D₂O saturated, and (c) 3a + 6a in CDCl₃/D₂O saturated.

resonances at 5.69 (H_a) and 5.62 (H_b) ppm indicate a vase conformation¹⁰ that is occupied by solvent. The fourth methine proton at the site of the missing wall appears at 4.20 ppm.¹¹ Folding is less pronounced when using D₂O-saturated

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(9) The broad methine resonances observed around 4 ppm suggest that the cavitand is in an open (kite-like) conformation and forms dynamic aggregates.

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chloroform, but the presence of a suitable guest again brings well-resolved signals. Cavitand **3b** is only partially soluble in CD_2Cl_2/CD_3OD . It dissolves and forms a folded structure in THF- d_8/D_2O , but the organic solvent outcompetes potential guests in binding.

The large π -surfaces of the cavity are known to bind ammonium cations through cation- π and CH- π interactions.^{3,5} Accordingly, we examined various amines, ammonium cations and adamantanes (6–13, Figure 3) as potential guests.



Typically, the anisotropy imparted by eight aromatic walls induces upfield shifts of up to 5 ppm in the NMR signals of guests. Alkanes, for example, appear in the region 0 to -4 ppm. We found that both an amine/ammonium group and a bulky aliphatic region which properly fills the inner space appear necessary for binding (Table 1).

Table 1. Binding Constants for Guests 6-11, Determined by ¹H NMR^{*a*}

guest	$K_{\rm a} \; [100 \; { m M}^{-1}]$	guest	$K_{\rm a} \; [100 \; { m M}^{-1}]$
6a	2.4	6e	3.4^b
6b	9.8	6f	
6c	1.6	6g	
6d	1.5	6h	
7	3.0	6i	
8	2.0	6j	0.04
9		11	0.49
10	0.37	12	4.2
		13	20.5
^{<i>a</i>} In D ₂ O saturated CDCl ₃ at 300 K, $[3a] = 4-6$ mM. ^{<i>b</i>} At 285 K.			

An additional feature of 3a is its selectivity in binding naturally occurring ammonium cations.¹² Both choline and carnitine, which are poorly soluble in water-saturated chloroform, were taken up, but acetylcholine was not.

The NMR signatures¹³ of the encapsulated guests in the upfield region and molecular modeling studies¹⁴ reveal that

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different binding modes operate depending on the guest (Figure 4). Primary ammonium cations or amines are



Figure 4. Upfield region in the ¹H NMR spectra of 6a, 8, and 13 and their assignments.

positioned appropriately to hydrogen bond with the groups on the cavitand's rim. Bulkier groups such as a trimethylammonium or a pyridinium can maintain an electrostatic interaction with the ester groups on the rim only if they protrude from the cavity.

Trimethylammonium guests with small hydrophobic regions such as choline or carnitine are accommodated with the trimethylammonium group positioned deep inside the cavity. For these guests, the hydroxyl and carboxylate functions can provide hydrogen bonding interactions with the groups at the rim. The ester group of acetylcholine appears unable to reach such binding sites.

The water-soluble cavitand **4** displays different host behavior. Saponification of **3b** gave **4**, as shown by ESI-HRMS (see Supporting Information), but when **4** was dissolved in D_2O , only broad signals were observed in the ¹H NMR spectrum. Four-walled cavitands of this type display broad signals in the presence of salts; most likely, the cavitands exist as velcrand dimers^{6c,15} or larger, kinetically unstable aggregates (Figure 5).

Upon addition of excess 1-adamantanol **6f**, the ¹H NMR spectrum sharpened and peaks for folded cavitand binding 1-adamantanol were seen. The presence of a guest that correctly fills the hydrophobic cavity stabilizes the folded conformation of **4**, breaking up the aggregates and providing a sharp spectrum of a 1:1 complex. Attempts to extend the range of guests were unsuccessful; cavitand **4** is not a sufficiently strong host to extract hydrophobics such as hexane, cyclohexane, isooctane, or adamantane into solution, as its four-walled counterpart can.^{6c} Other adamantane-based guests such as **6b**, **6d**, and **6e** did not fold the cavitand, presumably due to their higher water-solubility. Choline and



Figure 5. Side views of a simplified model for the complex $3\cdot 2H_2O$ and 6a (left) and 8 (right) showing different orientations of the adamantyl group.

acetylcholine also failed to break up the aggregates, although broad guest peaks were observed at δ -1 ppm, indicating some dynamic association of the guest and a folded host.

In conclusion, new water-stabilized, deep cavitand recognizes various amines and ammonium guests of different shapes. The synthesis of a water-soluble derivative was also achieved and shown to bind suitably-sized hydrophobic molecules in aqueous medium. The absence of a fourth wall allows the binding of bulky ammonium groups and appears as a promising template for more flexible receptors with improved selectivity for biologically relevant ammonium guests. These and the cavitands reported earlier⁵ provide a bridge between more open-sided receptors¹⁶ and the capsules that more or less completely surround their guests.¹⁷

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Supporting Information Available: Preparation and characterization data for compounds **3** and **4** and general procedures used for the binding experiments. This material is available free of charge via the Internet at http://pubs.acs.org.

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